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OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM D	OMB NUMBER: 3235-0076 Expires: November 30, 2001 Estimated average burden hours per response				
SE NOTICE OF SALE OF SECURITIES PURSUANT TO	SEC USE ONLY				
REGULATION D, SECTION 4(6), AND/OR	Prefix Serial				
UNIFORNI LIMITED OFFERING EXEMPTION	DATE RECEIVED				
Name of Offering (□ check if this is an amendment and name has changed, and indicate change.)					
Membership Interests					
Filing Under (Check box(es) that apply): □ Rule 504 □ Rule 505 ■ Rule 506 □ Section Type of Filing: □ New Filing ■ Amendment	n 4(6) □ ULOE				
A. BASIC IDENTIFICATION DAT					
1. Enter the information requested about the issuer	03031215				
Name of Issuer (□ check if this is an amendment and name has changed, and indicate change.)					
Ridgewood Renewable PowerBank II LLC					
Address of Executive Offices (Number and Street, City, State, Zip Code)	Telephone Number (Including Area Code)				
947 Linwood Avenue, Ridgewood, NJ 07450	201-447-9000				
Address of Principal Business Operations (if (Number and Street, City, State, Zip Code) different from Executive Offices)	Telephone Number (Including Area Code)				
Brief Description of Business: Finance, develop, lease & provide management services to a series of	landfill gas-fired electric generation facilities				
Type of Business Organization	A COLOR DE LE				
□ corporation □ limited partnership, already formed	■ other (please specify): limited limited limited				
□ business trust □ limited partnership, to be formed  Month Year					
Actual or Estimated Date of Incorporation or Organization 08 03 ■ Actual □ Estimate	SEP 09 2003				
Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State CN for Canada; FN for other foreign jurisdiction					

## GENERAL INSTRUCTIONS

Federal:

FORM D

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 USC 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

When to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State: This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires a payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

## ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

		A. BASIC IDENT	IFICATION DATA							
<ul> <li>Each beneficial owner having the Each executive officer and direction</li> </ul>	<ul> <li>Each promoter of the issuer, if the issuer has been organized within the past five years;</li> <li>Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;</li> <li>Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and</li> <li>Each general and managing partner of partnership issuers.</li> </ul>									
Check Box(es) that Apply:	■ Promoter	■ Beneficial Owner	☐ Executive Officer	□ Director	■ Managing Member					
Full Name (Last name first, if individual)										
D'IIDII-DII-C										
Ridgewood Renewable Power LLC  Business or Residence Address	(Number and S	Street, City, State, Zip Co	de)							
Dusiness of Residence Address	(14umber and 2	dicei, city, state, zap co	uc)							
947 Linwood Avenue, Ridgewood, NJ 07	450									
Check Box(es) that Apply:	■ Promoter	☐ Beneficial Owner	■ Executive Officer	■ Director	☐ General and/or Managing Partner					
Full Name (Last name first, if individual)										
Swanson, Robert E.										
Business or Residence Address	(Number and S	Street, City, State, Zip Co	de)							
business of Residence Fluidess	(i vainoer and c	deed, elty, balle, elp ee	uc)							
947 Linwood Avenue, Ridgewood, NJ 07	7450									
Check Box(es) that Apply:	☐ Promoter	■ Beneficial Owner	■ Executive Officer	☐ Director	☐ General and/or Managing Partner					
Full Name (Last name first, if individual)										
Gold, Robert L.					•					
Business or Residence Address	(Number and S	treet, City, State, Zip Coo	le)							
	•		,							
947 Linwood Avenue, Ridgewood, NJ 07										
Check Box(es) that Apply:	□ Promoter	☐ Beneficial Owner	■ Executive Officer	□ Director	☐ General and/or Managing Partner					
Full Name (Last name first, if individual)										
Olin, MaryLouise										
Business or Residence Address	(Number and S	treet, City, State, Zip Coo	le)							
947 Linwood Avenue, Ridgewood, NJ 0'										
Check Box(es) that Apply:	□ Promoter	☐ Beneficial Owner	■ Executive Officer	□ Director	☐ General and/or Managing Partner					
Full Name (Last name first, if individual)										
Quinn, Martin										
Business or Residence Address	(Number and S	Street, City, State, Zip Co	de)							
OARY' IA D'I LINIO	7.450									
947 Linwood Avenue, Ridgewood, NJ 0' Check Box(es) that Apply:		☐ Beneficial Owner	■ Executive Officer	m Dimeter	General and/or Managing Portner					
Full Name (Last name first, if individual)	□ Promoter	Deficition Owner	Executive Officer	☐ Director	☐ General and/or Managing Partner					
Fun Name (Last hame first, if morvidual)										
Gulino, Daniel V.										
Business or Residence Address	(Number and S	Street, City, State, Zip Co	de)							
947 Linwood Avenue, Ridgewood, NJ 0	7450									
Check Box(es) that Apply:	□ Promoter	☐ Beneficial Owner	■ Executive Officer	□ Director	☐ General and/or Managing Partner					
Full Name (Last name first, if individual)	C Tromotor		_ DAGGERAGE CHILDER							
,										
Naunton, Christopher										
Business or Residence Address	(Number and	Street, City, State, Zip Co	ode)							
947 Linwood Avenue, Ridgewood, NJ	7450									
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	□ Director	☐ General and/or Managing Partner					
Full Name (Last name first, if individual)				-						
,										
Business or Residence Address	(Number and	Street, City, State, Zip Co	ode)							
Dusiness of Restuence Address	(IAUTHOET AUG	Sucei, City, State, Zip Ci	ouc)							

**B. INFORMATION ABOUT OFFERING** Yes No Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?.... Answer also in Appendix, Column 2, if filing under ULOE. What is the minimum investment that will be accepted from any individual?..... 50,000 2. Yes No Does the offering permit joint ownership of a single unit?.... 3. Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering. If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such a broker or dealer, you may set forth the information for that broker or dealer only. Full Name (Last name first, if individual) See Attached Business or Residence Address (Number and Street, City, State, Zip Code) Name of Associated Broker or Dealer States in which Person Listed Has Solicited or Intends to Solicit Purchasers \_ [HI] \_ [ID] \_[AL] \_ [AK] \_ [AZ] \_ [CA] \_ [CO] \_ [KY] \_ [NJ] \_ [TX] \_ [ME] \_ [NY] \_ [MD] \_ [NC] \_ [VA] \_ [MÔ] \_ [IL] \_ [MT] \_ [RI] \_ [IN] \_ [NE) \_ [SC] \_ [KS] \_ [MA] \_ [MĪ] \_ [MN] \_ [MS] \_ [LA] \_ [IA] \_ [NH] \_ [NM] \_ [UT] \_ [ND] \_ [WA] \_ [OH] \_ [WV] \_ [OK] \_ [WI] \_ [OR] \_ [WY] \_ [PA] \_ [PR] \_ [NV] \_ [SD] \_ [TN] \_ [VT]

## **B. INFORMATION ABOUT OFFERING**

Full Name Cochran, I	(Last name fi <b>Robert</b>	rst, if individ	ual)									
	Residence A Street, New		ber and Stree CA 92660	t, City, State	, Zip Code)							
	ssociated Bro ffiliated Equ		i				, ,					-10.5
States in wl			licited or Inte								All States	
_[AL] _ [IL] _ [MT] _ [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ]	_ [AR] _ [KS] _ [NH] _ [TN]	■ [CA] _ [KY] _ [NJ] ■ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
Full Name ( Melanson,	(Last name fir Ronald	rst, if individ	ual)				•					
	Residence A ria Way, San		ber and Street A 92069	, City, State,	Zip Code)							
	ssociated Bro ffiliated Equi											
			licited or Inter eck individual								All States	
_[AL] _[IL] •[MT] _[RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] • [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] • [NJ] _ [TX]	■ [CO] _ [LA] _ [NM] ■ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] ■ [WA]	_ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] ■ [MO] _ [PA] _ [PR]
Full Name (	(Last name fi	rst, if individ	ual)									
	Residence A		mber and Stre 10018	et, City, Sta	te, Zip Code)	)						
	ssociated Bro ffiliated Equ		•									
States in wh	hich Person L	isted Has So	licited or Inter	nds to Solicit	Purchasers							
	(Check "All	States" or ch	eck individual	States)						🗆	All States	
_[AL]  [IL] _[MT] _[RI]	_ [AK] _ [IN] _ [NE] • [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] • [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] <b>=</b> [NY] _ [VT]	■ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	■ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] • [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
Full Name Yellin, Sau	(Last name fi	rst, if individ	ual)									
	Residence A all Drive, Hu		mber and Stre Y 11743	eet, City, Sta	te, Zip Code	)						
Name of As Ameriprop	ssociated Bro o. Inc.	ker or Dealer	7									
States in wl	hich Person L	isted Has So	licited or Inte	nds to Solici	t Purchasers							
	(Check "All	States" or ch	eck individua	States)			••••••				All States	
_ [AL] _ [IL] _ [MT] _ [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] = [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] <b>=</b> [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	■ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]

Full Name (Last name first, if individual) Wedvick, Allen T. Business or Residence Address (Number and Street, City, State, Zip Code) 1050 Northgate Drive Suite 130, San Rafael, CA 94303 Name of Associated Broker or Dealer **Archer Alexander Securities** States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) \_ [AK] \_ [AR] \_ [DE] \_ [ID] \_ [AL] \_ [AZ] **■** [CA] \_ [CO] \_ [CT] \_ [DC] \_ [FL] \_ [GA] \_ [MO] \_ [IN] \_ [KS] \_ [ME] ■ [IL] \_ [IA] \_ [KY] \_ [LA] \_ [MD] \_ [MA] \_ [MĪ] \_ [MN] \_ [MS] \_ [NH] \_ [MT] \_ [NĒ] \_ [NV] \_ [NJ] \_ [NY] \_ [NC] \_ [ND] \_ [OH] \_ [PA] \_ [OK] \_ [OR] ■ [NM] \_ [TN] \_ [RI] \_ [SC] \_ [SD] \_ [UT] \_ [VT] \_ [WA] \_ (WV) \_ [WY] \_ [TX] \_ [VA] \_ [WI] \_ [PR] Full Name (Last name first, if individual) Rachlin, Jeff Business or Residence Address (Number and Street, City, State, Zip Code) 777 Old Saw Mill River Road, Tarrytown, NY 10591 Name of Associated Broker or Dealer **Asset Allocation Securities Corporation** States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) All States \_[AL] \_ [AZ] \_ [AR] ■ [CA] \_ [CO] ■ [CT] \_ [DE] \_ [DC] \_ [FL] \_ [GA] \_ [ID] \_ [AK] \_ [MD] \_ [MI] \_ [KS] \_ [MO] \_ [IL] \_ [IN] \_ [IA] \_ [KY] \_ [LA] \_ [ME] ■ [MA] \_ [MN] \_ [MS] \_ [OH] \_ [PA] \_ [NV] \_ [NH] \_ [NM] \_ [NC] \_ [OR] \_ [MT] \_ [NE] ■ [NJ] ■ [NY] \_ [ND] [OK] \_ [RI] \_ [TN] \_ [UT] \_ [VA] \_ [WY] \_ [PR] \_ [SC] \_ [SD] \_ [TX] \_ [WA] \_ [WV] ■ [WI] \_ [VT] Full name (Last name first, if individual) Rachlin, Robert Business or Residence Address (Number and Street, City, State, Zip Code) 777 Old Saw Mill River Road, Tarrytown, NY 10591 Name of Associated Broker or Dealer **Asset Allocation Securities Corporation** States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) \_ [AZ] \_ [CO] \_ [DE] \_ [FL] \_ [ID] \_ [AL] \_ [AK] \_ [AR] ■ [CA] ■ [CT] \_ [DC] \_ [GA] \_ [HI] \_ [MÓ] \_ [MD] \_ [MĬ] \_ [MN] \_ [MS] \_ [IN] \_ [IA] \_ [KS] \_ [KY] \_ [IL] \_ [LA] \_[ME] **■** [MA] \_ [NÝ] \_ [OH] \_ [MT] \_ [NE] \_ [NH] \_ [NM] \_ [NC] \_ [ND] \_ [PA] \_ [OR] ■ [NJ] ■ [NY] \_ [OK] \_ [UT] \_ [WV] ■ [WI] \_ [WY] \_ [PR] \_ [RI] \_ [SD] \_ [TN] \_ [VT] \_ [VA] \_ [WA] \_ [SC] \_ [TX] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 701 Tama Street, Marion, IA 52302 Name of Associated Broker or Dealer Berthel Fisher States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... \_ [CO] \_ [CT] \_ [DE] \_ [DC] \_ [GA] \_ [HI] \_[AL] \_ [AK] ■ [CA] ■ [FL] \_ [ID] \_ [AZ] [AR] \_ [MA] \_ [MN] \_ [ME] \_ [MD] \_ [MI] \_ [MŚ] ■ [IL] \_ [KY] \_ [LA] ■ [MO] ■ [IN] ■ [IA] ■ [KS] \_ [NV] \_ [NJ] \_ [NY] \_ [NC] \_ [OH] \_ [OR] \_ [MT] \_ [NH] \_ [NM] \_ [ND] \_ [PA] ■ [NE] \_ [OK] \_ [WV] \_ [WY] \_ [SD] \_ [TN] \_ [UT] \_ [VT] \_ [VA] \_ [SC] \_ [WA] ■ [WI] \_ [PR] \_ [RI] \_ [TX]

Full Name (Last name first, if individual) Blitz, Ed Business or Residence Address (Number and Street, City, State, Zip Code) 3330 Old Glenview Road, Wilmette, IL 60091 Name of Associated Broker or Dealer Blitz (Edwin C.) Investments States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... \_ [ID] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] \_ [CT] \_ [DE] \_ [DC] \_ [GA] \_ [HI] \_[AL] \_ [AK] **■** [FL] \_ [KS] \_ [KY] \_ [LA] \_ [MA] \_ [MI] \_ [MO] \_ [IA] \_ [ME] \_ [MD] \_ [MN] \_ [MS] ■ [IL] ■ [IN] \_ [NÝ] \_ (NH) \_ [NJ] \_ [NY] \_ [NC] \_ [NDi \_ [OH] \_ [OK] \_ [OR] \_ [PA] \_ [MT] \_ [NE] \_ [NM] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WI] \_ [WY] \_ [PR] Full Name (Last name first, if individual) Donaldson, Bill Business or Residence Address (Number and Street, City, State, Zip Code) 1370 North Brea Blvd. Fullerton, CA 92635 Name of Associated Broker or Dealer **Brookstreet Securities Corporation** States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... \_ [ID] \_ [AR] ■ [CA] \_ [CO] \_ [CT] \_ [DE] \_ [DC] \_ [FL] \_ [HI] \_[AL] \_ [AK] \_ [GA] ■ [AZ] \_ [KS] \_ [LA] \_ [MD] \_ [MA] \_ [MI] \_ [MN] \_ [MS] \_ [MO] \_ [IL] \_ [IN] \_ [IA] \_ [KY] \_ [ME] \_ [OR] \_ [MT] \_ [NV] \_ [NH] \_ [NJ] \_ [TX] \_ [NM] \_ [NC] \_ [VA] \_ [PA] \_ [NE] \_ [NY] \_ [ND] \_ [OH] \_ [OK] \_ [WV] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [UT] \_ [VT] \_ [WA] \_ [WI] \_ [WY] \_ [PR] Full Name (Last name first, if individual) Polakoff, Vic Business or Residence Address (Number and Street, City, State, Zip Code) 766 Shrewsbury Avenue, Tinton Falls, NJ 07724 Name of Associated Broker or Dealer Cantone Research, Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) All States \_ {ID] \_[AL] \_ [AK] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] \_ [CT] \_ [DE] \_ [DC] \_ [FL] \_ [GA] \_ [HI] \_ [MD] \_ [IL] \_ [IN] \_ [IA] \_ [KS] \_ [KY] \_ [LA] \_ [ME] \_ [MA] \_ [MĪ] \_ [MN] \_ [MS] \_ [MO] \_ [OK] \_ [WI] \_ [NV] \_ [NC] \_ [ND] \_ [OH] \_ [NE] \_ [NM] \_ [NY] \_ [MT] \_ [NH] \_ [OR] ■ [NJ] **■** [PA] \_ [SC] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WY] \_ [PR] \_ [RI] \_ [SD] \_ [TN] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 14497 N. Dale Mabry Hwy, Suite 215, Tampa, FL 33618 Name of Associated Broker or Dealer Calton & Associates, Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers ..... 

All States (Check "All States" or check individual States) ..... ■ [CT] \_ [DE] ■ [FL] \_ [HI] \_ [ID] \_ [DC] \_ [GA] **■** [AL] ■ [AR] \_ [CA] \_ [CO] \_ [AK] **■** [AZ] \_ [MD] \_ [MA] \_ [IL] \_ [IA] \_ [KS] \_ [KY] \_ [ME] \_ [MI] \_ [MS] ■ [IN] ■ [LA] ■ [MN] \_ [MO] \_ [NC] \_ [VA] \_ [ND] \_ [OR] \_ [NV] **■** [NM] \_ [NY] ■ [OH] ■ [OK] ■ [PA] \_ [MT] \_ [RI] \_ [NH] \_ [NE] ■ [NJ] \_ [WY] \_ [UT] \_ [VT] \_ [PR] **■** [SC] \_ [SD] **■** [TN] ■ [TX] \_ [WA] \_ [WV] \_ [WI]

	Cast name n Bart	rst, if individ	ual)			·····						
	r Residence A nut Street, Pl			t, City, State,	Zip Code)							
	ssociated Bro rategies, Ltd			· · · · · ·								
States in w	hich Person L (Check "All		licited or Inte								All States	
_ [AL] _ [IL] _ [MT] _ [RI]	_ [AK] ■ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] • [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] <b>=</b> [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	■ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] • [PA] _ [PR]
Full Name Finn, Geo	(Last name fi	rst, if individ	ual)									
Business o	r Residence A	ddress (Nu	mber and Stre	et, City, Sta	te, Zip Code)	)						
172 Derby	Street, Hing	ham, MA 0	2043									
	ssociated Broquities, Inc.	ker or Dealer	r									
States in w	hich Person I	isted Has So	licited or Inte	nds to Solici	Purchasers							
	(Check "All	States" or ch	eck individua	States)				•••••			All States	
_ [AL] _ [IL] _ [MT] _ [RI]	_ [AK] _ [IN] _ [NE] _ [SC]	_ [AZ] _ [IA] _ [NV] _ [SD]	_ [AR] _ [KS] _ [NH] _ [TN]	_ [CA] _ [KY] _ [NJ] _ [TX]	_ [CO] _ [LA] _ [NM] _ [UT]	_ [CT] _ [ME] _ [NY] _ [VT]	_ [DE] _ [MD] _ [NC] _ [VA]	_ [DC] _ [MA] _ [ND] _ [WA]	■ [FL] _ [MI] _ [OH] _ [WV]	_ [GA] _ [MN] _ [OK] _ [WI]	_ [HI] _ [MS] _ [OR] _ [WY]	_ [ID] _ [MO] _ [PA] _ [PR]
Full Name	(Last name fi	rst, if individ	lual)				<del>, , ,</del>			· · · · · · · · · · · · · · · · · · ·		
	r Residence A											
Name of A	associated Browealth Finance	ker or Deale	r									
States in w	hich Person I (Check "All		licited or Inte								All States	
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_ [MT] _ [RI]												
_ [RI]	(Last name fi	rst, if individ	ual)									
_ [RI] Full name Terrill, Tl Business of		Address (Nu	ımber and Str	eet, City, Sta	te, Zip Code	)		<u>_</u>		<u> </u>		
_ [RI] Full name Terrill, The Business of 1200 17th State of A	or Residence A Street, Suite a Associated Bro	Address (Nu 2500, Denver	imber and Str r, CO 80202	eet, City, Sta	te, Zip Code	)						
_ [RI] Full name Terrill, Tl Business of 1200 17 <sup>th</sup> S Name of A Everen Se	or Residence A Street, Suite a Associated Bro	address (Nu 2500, Denver	imber and Str r, CO 80202			)						
_ [RI] Full name Terrill, Tl Business of 1200 17th Name of A Everen Se	or Residence A Street, Suite a Associated Broccurities	Address (Nu 2500, Denver oker or Deale	imber and Str r, CO 80202	nds to Solici	t Purchasers						All States	

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Full name (Last name first, if individual) Romero, Karl	
Business or Residence Address (Number and Street, City, State, Zip Code) 1585 E. 17 <sup>th</sup> Street, Santa Ana, CA 92705	
Name of Associated Broker or Dealer  Linsco Private Ledger Corp.	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	_
(Check "All States" or check individual States)	
_[AL] _[AK] _[AZ] _[AR] ■[CA] ■[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID]	
[IL] [IN] [IA] [KS] [KY] [LA] [ME] [MD] [MA] [MI] [MN] [MS] [MO]	
_ [MT] _ [NE] _ [NV] _ [NH] _ [NJ] _ [NM] _ [NY] _ [NC] _ [ND] _ [OH] _ [OK] _ [OR] _ [PA] _ [RI] _ [SC] _ [SD] _ [TN] _ [TX] _ [UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] _ [WY] _ [PR]	
Full name (Last name first, if individual) Field, Robert	_
Business or Residence Address (Number and Street, City, State, Zip Code)	
800 E. NW Highway, Palentine, IL 60067	
Name of Associated Broker or Dealer  Long Grove Trading Co.	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_[AL] _ [AK] _ [AZ] _ [AR] _ [CA] _ [CO] _ [CT] _ [DE] _ [DC] _ [FL] _ [GA] _ [HI] _ [ID]	
■[IL] _[IN] _[IA] _[KS] _[KY] _[LA] _[ME] _[MD] _[MA] _[MI] _[MN] _[MS] _[MO] _[MT] _[MT] _[NE] _[NV] _[NH] _[NJ] _[NM] _[NY] _[NC] _[ND] _[OH] _[OK] _[OR] _[PA]	
_ [MT] _ [NE] _ [NV] _ [NH] _ [NJ] _ [NM] _ [NY] _ [NC] _ [ND] _ [OH] _ [OK] _ [OR] _ [PA] _ [RI] _ [SC] _ [SD] _ [TN] _ [TX] _ [UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] _ [WY] _ [PR]	
Full name (Last name first, if individual)  Jorgenson, Alf L.	
Business or Residence Address (Number and Street, City, State, Zip Code) 2523 NE 41 <sup>st</sup> Avenue, Portland, OR 97212-2941	
Name of Associated Broker or Dealer  Moloney Securities Co. Inc.	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	_
(Check "All States" or check individual States)	
_[AL] _[AK] _[AZ] _[AR] _[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID]	
_ [IL] _ [IN] _ [IA] _ [KS] _ [KY] _ [LA] _ [ME] _ [MD] _ [MA] _ [MI] _ [MN] _ [MS] _ [MO]	
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Full Name (Last name first, if individual)  D'Agosta, Robert	
Business or Residence Address (Number and Street, City, State, Zip Code) 3773 Corporate Parkway, Center Valley, PA 18034	
Name of Associated Broker or Dealer	
Mony Securities Corporation	
States in which Person Listed Has Solicited or Intends to Solicit Purchasers	
(Check "All States" or check individual States)	
_[AL] _[AK] _[AZ] _[AR] ■[CA] _[CO] _[CT] _[DE] _[DC] _[FL] _[GA] _[HI] _[ID]	
■ [IL] _ [IN] _ [IA] _ [KS] _ [KY] _ [LA] _ [ME] _ [MD] _ [MA] _ [MI] _ [MN] _ [MS] _ [MO] _ [MT] _ [NE] _ [NV] _ [NH] ■ [NJ] _ [NM] _ [NY] _ [NC] _ [ND] _ [OH] _ [OK] _ [OR] ■ [PA]	
_ [RI] _ [SC] _ [SD] _ [TN] _ [TX] _ [UT] _ [VT] _ [VA] _ [WA] _ [WV] _ [WI] _ [WY] _ [PR]	

Full Name (Last name first, if individual) Lauro, Phil Business or Residence Address (Number and Street, City, State, Zip Code) 6529 Riverside Avenue, Riverside, CA Name of Associated Broker or Dealer Mutual Services Corp. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ...... \_ [ID] \_[AL] \_ [AK] \_ [AZ] \_ [AR] ■ [CA] \_ [CO] \_ [CT] \_ [DE] \_ [GA] ■ [FL] \_ [IL] \_ [IN] \_ [IA] \_ [KS] \_ [KY] \_ [LA] \_ [ME] \_ [MD] \_ [MA] \_ [MI] \_ [MN] \_ [MO] \_ [MS] \_ [OH] \_ [OR] \_ [PA] \_ [MT] \_ [NE] \_ [NV] \_ [NH] \_ [NJ] \_ [NM] \_ [NY] \_ [NC] \_ [ND] \_ [OK] \_ [RI] \_ [SC] \_ [SD] \_ [WV] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [WA] \_ [WI] \_ [WY] \_ [PR] \_ [VA] Full Name (Last name first, if individual) Ahanchian, Amir Business or Residence Address (Number and Street, City, State, Zip Code) 1177 West Loop South, Suite 1475, Houston, TX 77027 Name of Associated Broker or Dealer Oxford Financial Group States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)..... \_[AL] \_ [AZ] \_ [AR] \_ [CO] \_ [HI] \_ [ID] \_ [AK] \_ [CT] \_ [DE] \_ [DC] \_ [FL] \_ [GA] ■ [CA] \_ [MO] \_ [MD] \_ [NC] \_ [VA] \_ [MI] \_ [MN] \_ [IA] \_ [ME] **■** [IL] \_ [IN] \_ [KS] \_ [KY] \_ [LA] \_ [MA] \_ [MS] \_ [MT] \_ [NE] \_ [NV] \_ [NH] [NM] \_ [NY] \_ [ND] \_ [OH] \_ [OK] \_ [WI] \_ [OR] \_ [PA] ■ [NJ] \_ [UT] \_ [WV] \_ [PR] \_ [RI] \_ [SC] \_ [SD] \_ [TN] **■** [TX] \_ [VT] \_ [WA] \_ [WY] Full name (Last name first, if individual) Upton, Mike Business or Residence Address (Number and Street, City, State, Zip Code) 1551 N. Tustin Avenue, Santa Ana, CA 92705 Name of Associated Broker or Dealer NNN Capital Corp. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... All States \_ [CO] \_ [ID] \_ [DE] \_ [FL] \_ [HI] \_[AL] \_ [AK] \_ [AZ] \_ [AR] \_ [CT] \_ [DC1 \_ [GA1 ■ [CA] \_ [MD] \_ [IN] \_ [KS] \_ [KY] \_[ MÉ] \_ [MI] \_ [MN] \_ [MS] \_ [MO] \_ [IL] \_ [IA] \_ [LA] \_ [MA] \_ [NV] \_ [NH] \_ [NM] \_ [NY] \_ [NC] \_ [ND] \_ [OH] \_ [OK] \_ [OR] \_ [PA] \_ [MT] \_ [NE] \_ [NJ] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WI] \_ [WY] \_ [PR] Full name (Last name first, if individual) Horning, Robert Business or Residence Address (Number and Street, City, State, Zip Code) 7141 Glasgow Avenue, Los Angeles, CA 90045 Name of Associated Broker or Dealer NNN Capital Corp. States in which Person Listed Has Solicited or Intends to Solicit Purchasers ..... 

All States (Check "All States" or check individual States) ..... \_ [HI] \_ [ID] \_ [DE] \_ [FL] \_ [GA] \_ [CO] \_ [DC] \_ [AK] \_ [AZ] \_ [AR] ■ [CA] \_ [CT] \_[AL] \_ [IN] \_ [IA] \_ [KS] \_ [KY] \_ [LA] \_[ ME] \_ [MD] \_ [MA] \_ [MĪ] \_ [MN] \_ [MS] \_ [MO] \_ [IL] \_ [PA] \_ [NV] \_ [NM] \_ [OH] \_ [OK] \_ [OR] \_ [NH] \_ [NY] \_ [NC] \_ [VA] \_ [ND] \_ [MT] \_ [NE] \_ [NJ] \_ [SD] \_ [RI] \_ [SC] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [WA] \_ [WV] \_ [WI] \_ [WY] \_ [PR]

. . .

Full Name (Last name first, if individual) Sherrill, Scott Business or Residence Address (Number and Street, City, State, Zip Code) 14060 35th Avenue S., Seattle, WA 98168 Name of Associated Broker or Dealer Pacific West Securities States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ...... \_ [AK] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] \_ [CT] \_ [DE] \_ [FL] \_ [ID] \_ [GA] \_[AL] \_ [IA] \_ [NV] \_ [KY] \_ [NЛ] \_ [IL] \_ [IN] \_ [KS] \_ [LA] \_ [ME] \_ [MD] \_ [MA] \_ [MI] \_ [MN] \_ [MS] \_ [MO] \_ [NY] \_ [NC] \_ [OH] \_ [OK] \_ [OR] \_ [NE] \_ [NH] \_ [NM] \_ [ND] \_ [PA] \_ [MT] \_ [RI] \_ [SC] \_ [SD] \_ [UT] \_ [VA] \_ [WV] \_ [TN] \_ [VT] \_ [WI] \_ [WY] \_ [PR] \_ [TX] ■ [WA] Full Name (Last name first, if individual) Kittlaus, L. Karl Business or Residence Address (Number and Street, City, State, Zip Code) 22811 Saga Point Drive. Bonita Springs, FL 34135 Name of Associated Broker or Dealer Pavek Investments Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... ...... \_ [AR] \_ [CA] \_ [CO] \_ [DE] \_ [ID] \_[AL] \_ [AK] \_ [AZ] \_ [CT] \_ [DC] \_ [FL] \_ [GA] \_ [HI] ■ [IL] \_ [KY] \_ [IN] \_ [IA] \_ [ME] \_ [MD] \_ [MI] \_ [MN] \_ [MO] \_ [KS] \_ [LA] \_ [MA] \_ [MS] \_ [MT] \_ [NE] \_ [NV] \_ [NH] \_ [NJ] \_ [NY] \_ [NC] \_ [ND] \_ [OH] \_ [OK] \_ [OR] \_ [PA] [NM] \_ [SC] \_ [WV] \_ [RI] \_ [SD] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WI] \_ [WY] \_ [PR] \_ [TN] \_ [TX] Full Name (Last name first, if individual) Borowski, Gregg Business or Residence Address (Number and Street, City, State, Zip Code) 120 Main Street, Park Ridge, IL 60068 Name of Associated Broker or Dealer Pavek Investments Inc. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ...... ..... 🗆 \_ [AK] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] \_ [CT] \_ [DE] \_ [DC] \_ [FL] \_ [GA] \_ [HJ] \_ [ID] \_[AL] \_ [IA] \_ [KS] \_ [ME] \_ [MI] \_ [MN] \_ [MS] \_ [MO] \_ [IN] \_ [KY] \_ [LA] \_ [MD] \_ [MA] **■** [IL] \_ [NM] \_ [NC] \_ [OH] \_ [OR] \_ [PA] \_ [MT] \_ [NE] \_ [NV] \_ [NH] \_ [NJ] \_ [NY] \_ [ND] \_ [OK] \_ [WV] ■ [WI] \_ [WY] \_ [PR] \_ [SC] \_ [SD] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [RI] \_ [TN] \_ [TX] Full name (Last name first, if individual) Robbins Business or Residence Address (Number and Street, City, State, Zip Code) 23630 Clover Trail, Calabasas, CA 91302 Name of Associated Broker or Dealer **Private Investors Equity Group** States in which Person Listed Has Solicited or Intends to Solicit Purchasers All States (Check "All States" or check individual States) ..... \_ [CO] \_ [DE] \_ [DC] \_ [FL] \_ [GA] \_ [HI] \_ [ID] \_ [CT] \_ [AL] \_ [AK] \_ [AZ] \_ [AR] ■ [CA] \_ [MA] \_ [ND] \_ [MN] \_ [IN] \_ [LA] \_ [MI] \_ [MO] \_ [IL] \_ [IA] \_ [KS] \_ [KY] \_[ME] \_ [MD] \_ [MS] \_ [NV] \_ (NH) \_[NJ] \_ [NM] \_[NY] \_ [OK] \_ [OR] \_ [PA] \_ [NE] \_[NC] \_ [MT] [OH] \_ [WI] \_ [PR] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WY]

Full Name (Last name first, if individual) Swanson, Robert Business or Residence Address (Number and Street, City, State, Zip Code) 947 Linwood Avenue, Ridgewood, NJ 07450 Name of Associated Broker or Dealer Ridgewood Securities Corp. States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... ■ [AR] **■** [AL] **■** [AZ] ■ [CO] ■ [DE] ■ [DC] ■ [GA] ■ [ID] \_ [AK] ■ [CA] ■ [CT] ■ [FL] ■ [IA] \_ [MO] **■** [IL] ■ [IN] **■** [KS] ⊠ [KY] ■ [LA] ■ [ME] ■ [MD] **■** [MA] ■ [MI] ■ [MN] ■ [MS] ■ [NV] **■** [NJ] ■ [NM] ■ [NY] \_ [ND] ■ [OH] ■ [OK] ■ [OR] **■** [MT] ■ [NE] ■ [NH] ■ [NC] **■** [PA] \_ [PR] ■ [RI] ■ [SC] ■ [SD] ■ [TN] ■ [TX] ■ [UT] ■ [VT] ■ [VA] ■ [WA] \_ [WV] ■ [WI] \_ [WY] Full Name (Last name first, if individual) Biork, David Business or Residence Address (Number and Street, City, State, Zip Code) 3801 Lockport Street, Bismarck, ND 58501 Name of Associated Broker or Dealer **SAL Financial Services** States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... \_ [ID] \_ [DE] \_ [AK] \_ [AZ] \_ [AR] \_ [CT] \_ [DC] \_ [FL] \_ [GA] \_ [HI] \_ [AL] \_ [CA] \_ [CO] \_ [KY] \_ [ME] \_ [MD] \_ [MĪ] \_ [MN] \_ [MŠ] \_ [IL] \_ [IN] \_ [IA] \_ [KS] \_ [LA] \_ [MA] \_ [MO] \_ [PA] \_ [MT] \_ [NV] \_ [NM] \_ [NY] \_ [NC] \_ [OH] \_ [OR] \_ [NE] \_ [NH] \_ [NJ] ■ [ND] \_ [OK] \_ [VT] \_ [WV] \_ [WI] \_ [PR] \_ [RI] \_ [SC] \_ [SD] \_ [TX] \_ [UT] \_ [VA] \_ [WA] \_ [TN] \_ [WY] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 2355 Northside Drive, San Diego, CA 92108 Name of Associated Broker or Dealer **Sentra Securities Corporation** States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... All States \_[AL] \_ [AZ] \_ [CA] \_ [CO] \_ [DE] \_ [DC] \_ [FL] \_ [GA] \_ [HI] \_ [ID] \_ [AK] \_ [AR] \_ [ME] \_ [MD] \_ [MO] \_ [IL] \_ [IN] \_ [MA] \_ [MI] \_ [MN] \_ [MS] \_ [IA] \_ [KS] \_ [KY] \_ [LA] \_ [MT] \_ [NE] \_ (NV) \_ (NH) \_ [NJ] \_ [NM] \_ [NY] \_ [NC] \_ [ND] \_ [OH] \_ [OK] \_ [OR] \_ [PA] \_ [WA] \_ [WY] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WV] \_ [WI] \_ [PR] Full Name (Last name first, if individual) Wlosek, Ken Business or Residence Address (Number and Street, City, State, Zip Code) 300 "C" Lake Street, Ramsey, NJ 07446 Name of Associated Broker or Dealer Seward Groves Richard & Wells States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) \_ [DE] \_ [ID] \_ [AK] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] \_ [CT] \_ [DC] \_ [FL] \_ [GA] \_ [HI] \_[AL] \_ [MD] \_ [MĪ] \_ [MN] \_ [IN] \_ [IA] \_ [KS] \_ [LA] \_ [ME] \_ [MA] \_ [IL] \_ [KY] \_ [MS] \_ [MO] \_ [OK] \_ [WI] \_ [OR] \_ [PA] \_ [NV] \_ [SD] \_ [NH] \_ [NY] \_ [NC] \_ [VA] \_ [ND] \_ [OH] \_ [MT] \_ [NE] \_ [NM] ■ [NJ] \_ [UT] \_ [VT] \_ [SC] \_ [WA] \_ [WV] \_ [WY] \_ [PR] \_ [RI] \_ [TN] \_ [TX]

Full Name	(Last name fi	irst, if individ	ıal)							·		
						·						
		Address (Nu: <b>Arbor, MI 48</b>		et, City, Stat	te, Zip Code)	)						
	associated Bro	oker or Dealer			<del></del>							
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outos III v		States" or che									All States	
_[AL]	` _ [AK]	_ [AZ]	_ [AR]	_ [CA]	_ [CO]	_ [CT]	_ [DE]	_ [DC]	_ [FL]	_ [GA]	_ [HI]	_ [ID]
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Full name Shafe, Ch		rst, if individu	ial)				-					
		Address (Nu Iaitland, FL		et, City, Sta	te, Zip Code	)						
	Associated Bro Securities, In	oker or Dealer nc.		-			-					
States in w	hich Person I	isted Has Sol	icited or Inte	nds to Solicit	Purchasers							
	(Check "All	States" or che	eck individual	States)					***************************************	🗆	All States	
_ [AL] _ [IL]	_ [AK] _ [IN]	_ [AZ] _ [IA]	_ [AR] _ [KS]	_ [CA] _ [KY]	_ [CO] _ [LA]	_ [CT] _ [ ME]	_ [DE] _ [MD]	_ [DC] _ [MA]	■ [FL] _ [MI]	■ [GA] _ [MN]	_ [HI] _ [MS]	_ [ID] _ [MO]
_ [MT] _ [RI]	_ [NE] _ [SC]	_ [NV] _ [SD]	_ [NH] _ [TN]	_ [NJ] _ [TX]	_ [NM] _ [UT]	_ [NY] _ [VT]	_ [NC] _ [VA]	_ [ND] _ [WA]	_ [OH] _ [WV]	_ [OK] _ [WI]	_ [OR] _ [WY]	■ [PA] _ [PR]
Full Name	(Last name f	irst, if individ	ual)									
Rusiness c	or Residence	Address (Nu	mber and Stre	et City Sta	te. Zip Code	<u> </u>		<del></del>			_	
		lorcross, GA		oci, eity, bid	т, шр сосо	,						
	Associated Broestors, Inc.	oker or Dealer										
States in w	hich Person I	Listed Has So	licited or Inte	nds to Solici	Purchasers							
	(Check "All	States" or che	eck individua	l States)			••••••••••	.,			All States	
_[AL] <b>=</b> [IL]	_ [AK] _ [IN]	■ [AZ] _ [IA]	_ [AR] _ [KS]	■ [CA] _ [KY]	_ [CO] _ [LA]	_ [CT] _ [ME]	_ [DE] _ [MD]	_ [DC] _ [MA]	_ [FL] _ [MI]	■ [GA] _ [MN]	_ [HI] _ [MS]	_ [ID] _ [MO]
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		irst, if individ			_ [01]	_ [ , , ]			- L" ' J			()
Business o	or Residence	Address (Num	ber and Stree	t, City, State	, Zip Code)						_	
Name of A	Associated Br	oker or Dealer										
States in v	vhich Person	Listed Has So	licited or Inte	nds to Solici	t Purchasers			<del></del>				
	(Check "All	States" or che	eck individua	l States)	•••••	••••••				🗖	All States	
_[AL] _[IL]	_ [AK] _ [IN]	_ [AZ] _ [IA]	_ [AR] _ [KS]	_ [CA] _ [KY]	_ [CO] _ [LA]	_ [CT] _ [ ME]	_ [DE] _ [MD]	_ [DC] _ [MA]	_ [FL] _ [MI]	_ [GA] _ [MN]	_ [HI] _ [MS]	_ [ID] _ [MO]
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_ [RI]	_ [SC]	_ [SD]	_ [TN]	[1A]	_ [01]	- [ v 1]	_ [*^]	_ [ [ [ ] ]	- [** *]	~ [***J	~ [ ** * ]	[* **]

Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) 400 South 4th St., St. Louis, MO 63102 Name of Associated Broker or Dealer Walnut Street Securities States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) \_ [DC] \_[AL] \_ [CT] \_ [DE] \_ [FL] \_ [GA] \_ [ID] \_ [AK] \_ [AZ] ■ [CA] \_ [HI] [AR] **■** [CO] \_ [ME] \_ [IN] \_ [IA] **■** [KS] \_ [KY] \_ [MD] \_ [MA] \_ [MS] **■** [MO] \_ [IL] \_ [LA] ■ [MI] \_ [MN] \_ [MT] \_ [NE] \_ [NV] \_ [NH] \_ [NJ] \_ [NM] \_ [NY] \_ [NC] \_ [ND] \_ [OK] \_ [OR] ■ [OH] ■ [PA] \_ [VT] \_ [VA] \_ [WA] \_ [WV] \_ [WI] \_ [WY] \_ [PR] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [TX] \_ [UT] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Name of Associated Broker or Dealer States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... All States \_ [HI] \_ [ID] \_[AL] \_ [AK] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] \_ [DE] \_ [DC] \_ [FL] \_ [GA] \_ [CT] \_ [MO] \_ [IL] \_ [IN] \_ [IA] \_ [KS] \_ [KY] \_ [LA] \_ [ME] \_ [MD] \_ [MA] \_ [MI] \_ [MN] \_ [MS] \_ [NV] \_ [NH] \_ [NJ] \_ [OH] \_ [OK] \_ [PA] \_ [NE] \_ [NM] \_ [NY] \_ [NC] \_ [ND] \_ [OR] \_ [MT] \_ [TX] \_ [VT] \_ [WV] \_ [WY] \_ [PR] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [UT] \_ [VA] \_ [WA] \_ [WI] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Name of Associated Broker or Dealer States in which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States) ..... \_ [ID] \_ [AK] \_ [AZ] \_ [AR] \_ [CA] \_ [CO] \_ [HI] \_[AL] \_ [MN] \_ [ MO] \_ [MA] \_ [MS] \_ [KY] \_ [ME] \_ [MD] \_ [MI] \_ [IL] \_ [IN] \_ [KS] \_ [LA] \_ [IA] \_ [PA] \_ [MT] \_ [NE] \_ [NV] \_ (NH) \_ [N]] \_ [NM] \_ [NY] \_ [NC] \_ [ND] \_ [OH] [OK] [WI] \_ [OR] \_ [PR] \_ [WA] \_ [WV] \_ [RI] \_ [SC] \_ [SD] \_ [TN] \_ [TX] \_ [UT] \_ [VT] \_ [VA] \_ [WY] Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) Name of Associated Broker or Dealer States in which Person Listed Has Solicited or Intends to Solicit Purchasers All States (Check "All States" or check individual States) ...... \_ [HI] \_ [ID] \_ [AL] \_ [AZ] \_ [CA] \_ [CT] \_ [DE] \_ [GA] \_ [AK] \_ [AR] \_ [CO] \_ [DC] \_ [FL] \_ [MN] \_ [MS] \_ [MO] \_ [MD] \_ [MA] \_ [MI] \_ [KY] \_ [ME] \_ [IL] \_ [IN] \_ [IA] \_ [KS] \_ [LA] \_ [MT] \_ [NE] \_ [NV] \_ [NH] \_ [NJ] \_ [NM] \_ [NY] \_ [NC] \_ [ND] \_ [OH] \_ [WV] \_ [OK] \_ [WI] \_ [OR] \_ [PA] \_ [PR] \_ [VT] \_ [VA] \_ [WA] \_ [WY] \_ [RI] \_[SC] \_ [SD] \_ [TN] \_ [TX] \_ [UT]

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## C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box $\square$ and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.	Aggregate Offering Price	Amount Already Sold
	Type of Security		
	Debt	\$	\$
	Equity	\$	\$
	□ Common □ Preferred		
	Convertible Securities (including warrants)	\$	\$
	Partnership Interests	\$	\$
	Other (Specify): limited liability company membership interests	\$25,000,000	\$ <u>20,596,000</u>
	Total	\$25,000,000	\$ <u>20,596,000</u>
	Answer also in Appendix, Column 3, if filing under ULOE.		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."	Number of Investors	Aggregate Dollar Amount of Purchases
	Accredited Investors	341	\$ <u>20,596,000</u>
	Non-accredited Investors		\$
	Total (for filings under Rule 504 only)		\$
3.	Answer also in Appendix, Column 4, if filing under ULOE  If this filing is for an offering under Rule 504 or 505, enter the information requested for all		
	securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C – Question 1.	Type of	Dollar Amount
	Type of offering	Security	Sold
	Rule 505		\$
	Regulation A		\$
	Rule 504	And the second s	\$
	Total		¢
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		<u> </u>
	Transfer Agent's Fees		\$
	Printing and Engraving Costs	•	\$50,000
	Legal Fees	•	\$25,000_
	Accounting Fees.	=	\$5,000
	Engineering Fees	ū.	\$ <u> </u>
	Sales Commissions (specify finders' fees separately)	=	\$ 2,250,000
	Other Expenses (identify) filing fees, miscellaneous operating and marketing costs	=	\$ <u>520,000</u>
	Total	•	\$ <u>2,850,000</u>

	C. OFFERING	PRICE, NUMBER OF INVESTORS, EX	CPENSES AN	D USE OF PROCEEDS				
	b. Enter the difference between the aggregate 1 and total expenses furnished in response to F "adjusted gross proceeds to the issuer."	Part C - Question 4.a. This difference is th	e			\$ <u>22,150,000</u>		
5.	Indicate below the amount of the adjusted gros for each of the purposes shown. If the amount and check the box to the left of the estimate. I adjusted gross proceeds to the issuer set forth							
				Payments to Officers, Directors, & Affiliates		Payments To Others		
	Salaries and fees		•	\$ <u>625,000</u>	а	\$		
	Purchase of real estate			\$		\$		
	Purchase, rental or leasing and installation of r	nachinery and equipment		\$	۵	\$		
	Construction or leasing of plant buildings and	facilities		\$		\$		
	Acquisition of other business (including the va that may be used in exchange for the assets or merger)	securities of another issuer pursuant to a		s		ç		
			ш		u	Ψ		
	Repayment of indebtedness			\$		\$		
	Working capital			\$		\$		
	Other (specify): projects, project developme	nt and operations		\$	•	\$ <u>21,525,000</u>		
			- -	\$		\$		
	Column Totals		•	\$ <u>625,000</u>		\$ <u>21,525,000</u>		
	Total Payments Listed (column totals added)	ts Listed (column totals added)						
·		D. FEDERAL SIGNAT	URE					
an	e issuer has duly caused this notice to be signed bundertaking by the issuer to furnish to the U.S. So-accredited investor pursuant to paragraph (b)(2)	ecurities and Exchange Commission, upon	If this notice written reque	is filed under Rule 505, the st of its staff, the information	following s	signature constitutes by the issuer to any		
	uer (Print or Type)  dgewood Renewable PowerBank II LLC	Signature Lani Oli		Date September 2, 2003				
Na	me of Signer (Print or Type)	Title of Signer (Print or Type)						
		1						